

# TOPOLOGICAL SUPERFLUIDS IN OPTICAL LATTICES

Pietro Massignan

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**ICFO**<sup>R</sup>

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de Ciències  
Fotòniques

UAB



QUAGATUA  
(LEWENSTEIN)

# in collaboration with



Maciej Lewenstein



Anna Kubasiak



Anna Sanpera

# Phase transitions

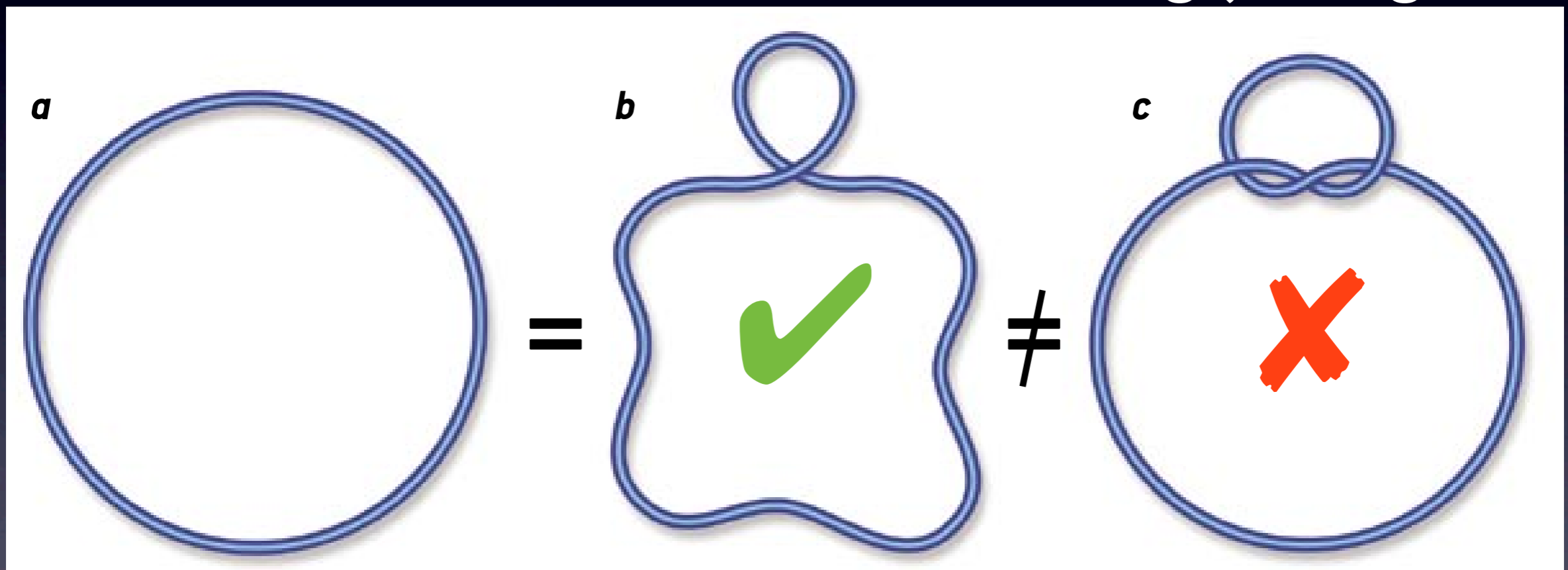
- **Landau**: most phases of matter may be classified by the symmetries they break
  - ▶ translational (solids)
  - ▶ rotational (magnets)
  - ▶ gauge (superfluids)
- **BUT**: some materials possess distinguishable phases without breaking symmetries  
(QH and QSH effect)

Topological phase transitions!

# Topological properties

✓: stretching, bending

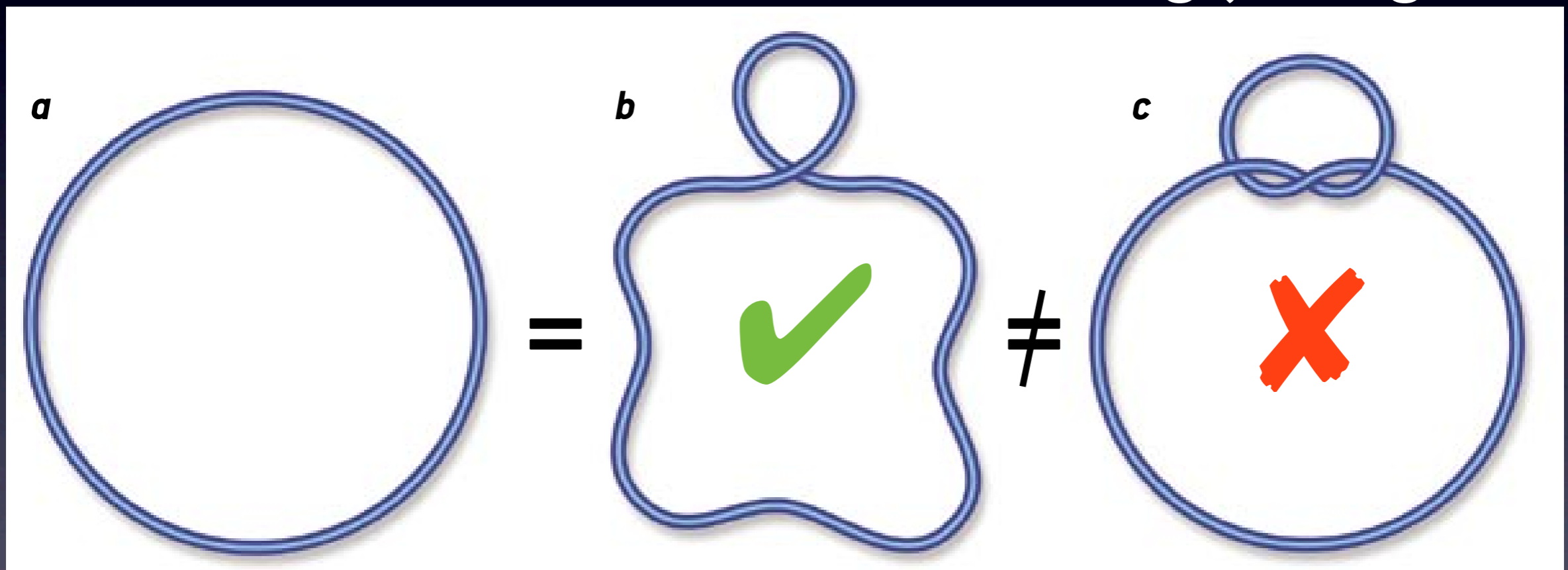
✗: cutting, joining



# Topological properties

✓: stretching, bending

✗: cutting, joining



Concern the whole system (non-local)

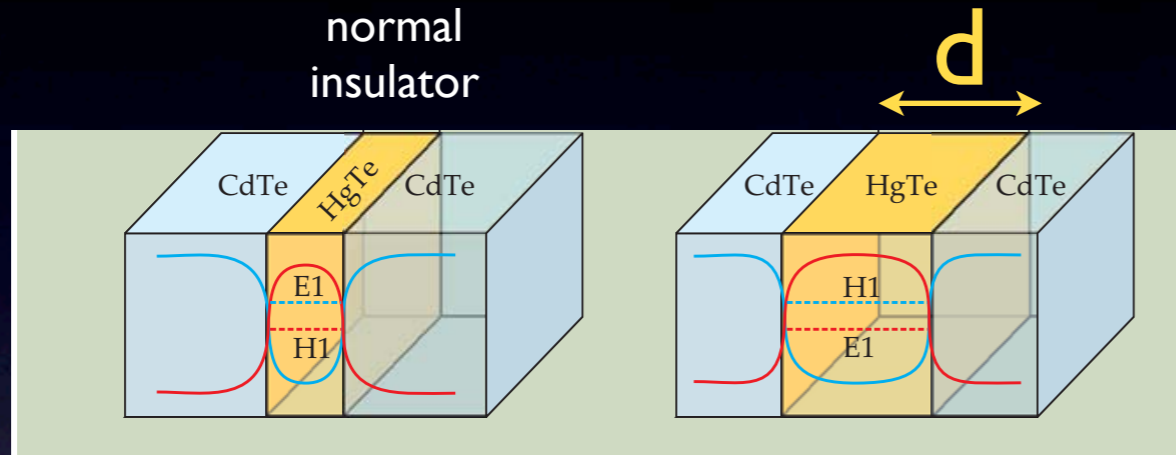
Characterized by integer numbers

Robust

# A topological insulator

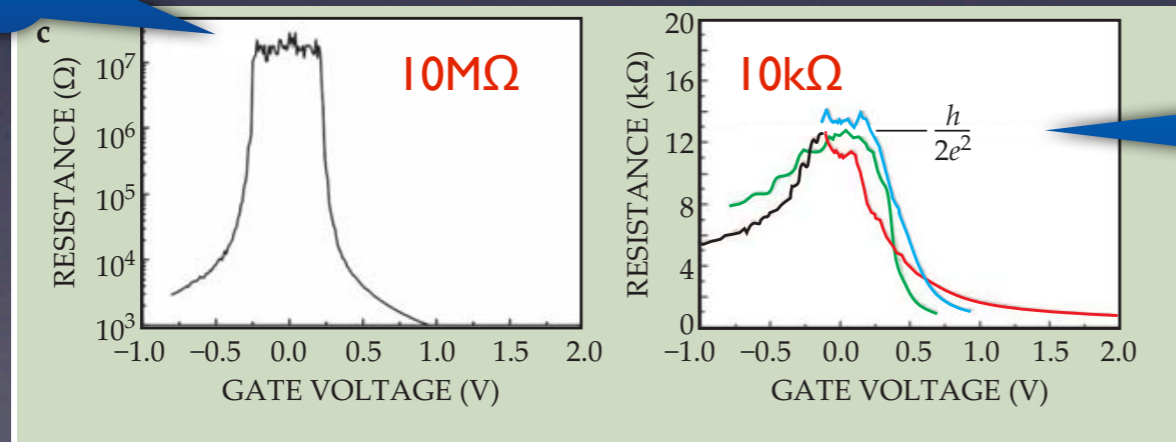
## Hg-Te quantum well

Hg: Mercury  
Te: Telluride



Phase transition at  $d=d_{\text{crit}}$ :  
normal-to-topological insulator

very large  
resistance



independent of  $d$ , when  $d > d_{\text{crit}}$   
2 quanta of conductance

Qi & Zhang, Physics Today 2010

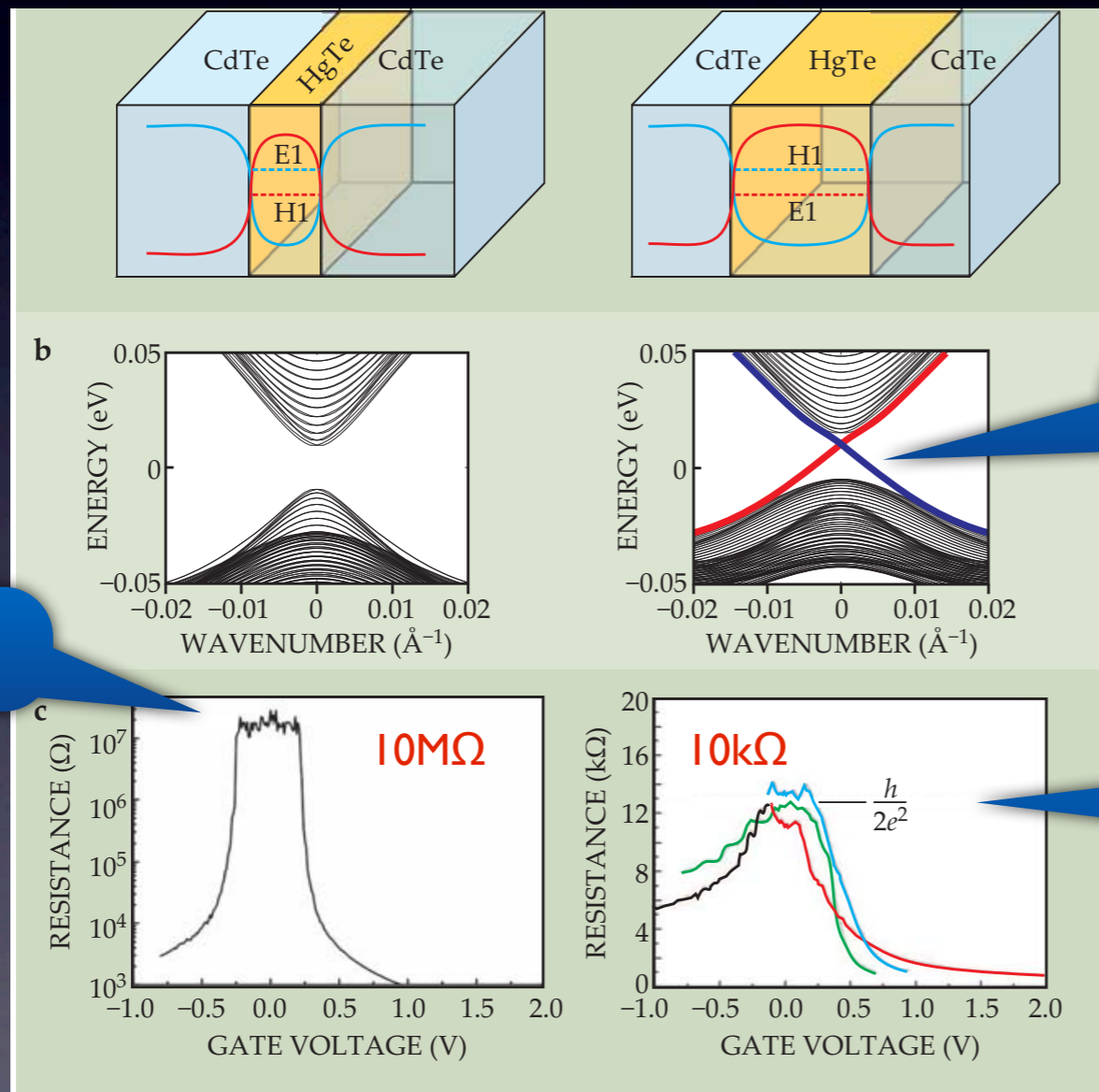
# A topological insulator

## Hg-Te quantum well

Hg: Mercury  
Te: Telluride

normal insulator

$d$



$d > d_{crit}$ : topological insulator

edge states

Hg-Te has strong spin-orbit coupling

very large resistance

2 quanta of conductance  
(independent of  $d$ , when  $d > d_{crit}$ )

Qi & Zhang, Physics Today 2010

# interesting..., but where?

- **exotic condensed matter systems**  
(quantum wells, bismuth antimony alloys,  $\text{Bi}_2\text{Se}_3$  crystals, ...)
- $\nu=5/2$  FQH state (Pfaffian)
- **ultracold atoms?**  
(talks by Sa de Melo, Le Hur, Morais-Smith, Eckardt, Hemmerich, ...)



## Outlook of the talk

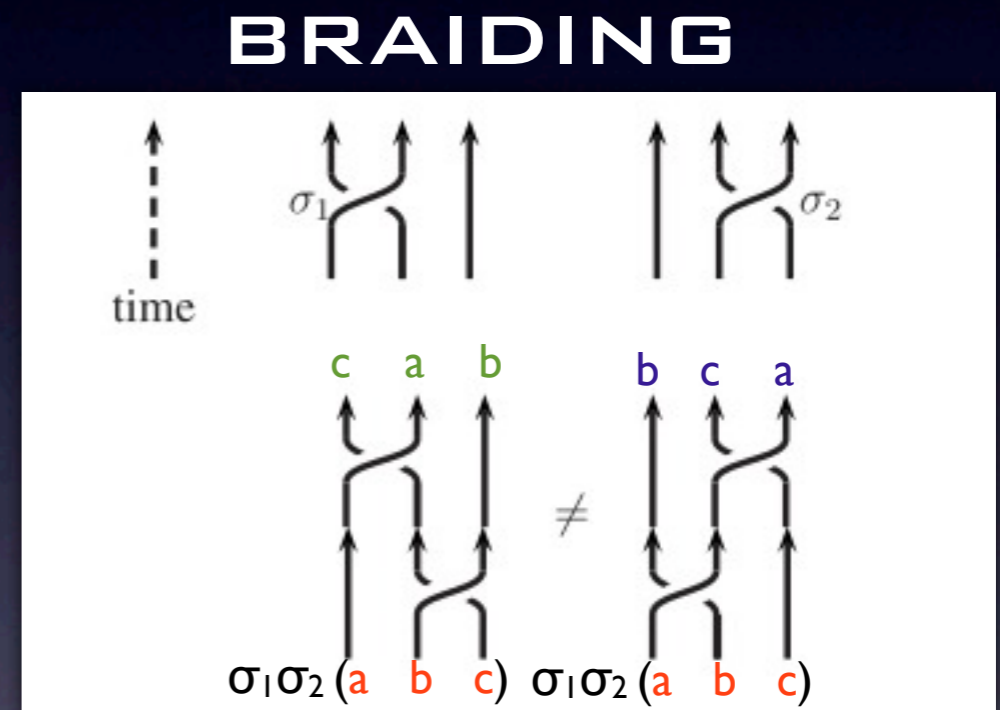
↑ 2D **p-wave** fermionic SF

↑↓ 2D **s-wave** fermionic SF  
with  $n_{\uparrow} \neq n_{\downarrow}$   
and spin-orbit coupling

# Why 2D?

In 2D particles need not to be either bosons/fermions,  
but may have anyonic statistics  
( **anyons**: **any** phase under exchange of two particles )

In particular, the statistics can be  
**non-Abelian**  
(the exchange of two particles  
must be described by a matrix)

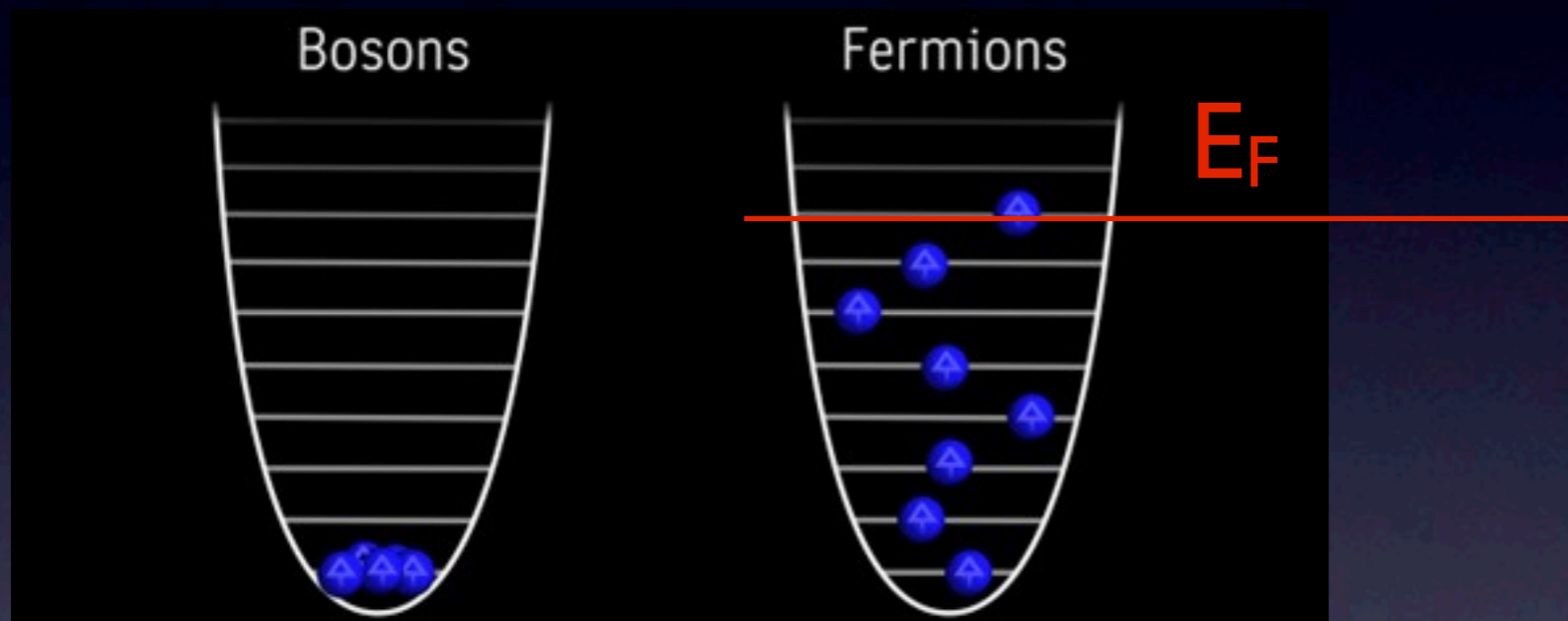


Non-Abelian anyons are the main ingredient  
for topological quantum computation

Nayak, Simon, Stern, Freedman, and Das Sarma, RMP 2008

# Why fermions?

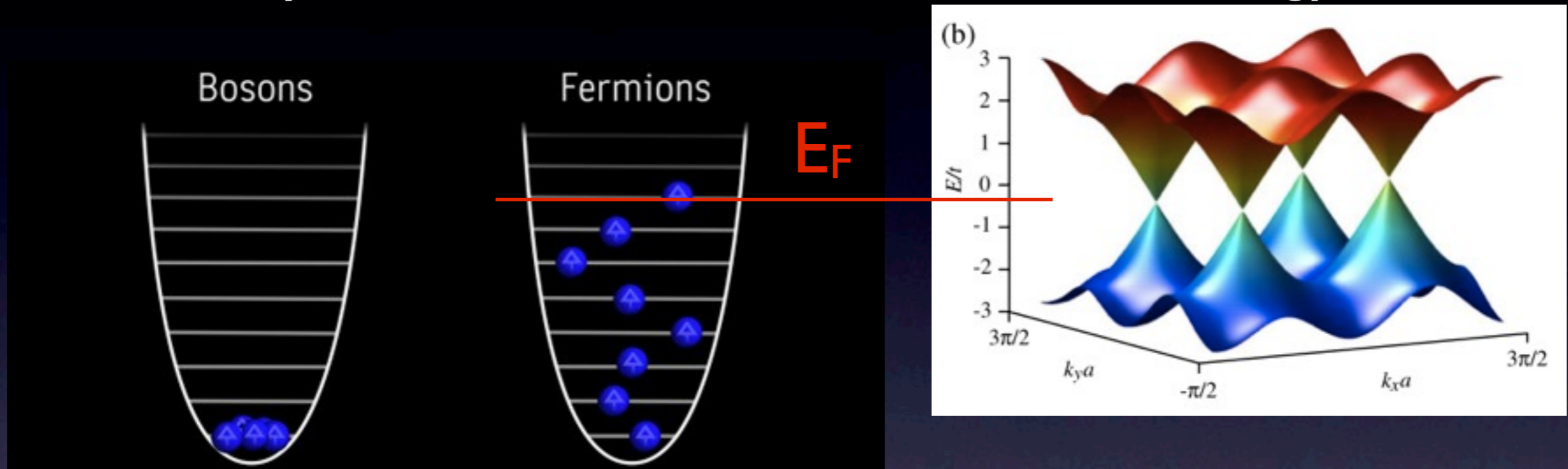
Bosons are not particularly suited,  
as they condense in the lowest available energy state.



On the contrary, fermions have to due to obey the Pauli principle.

# Why fermions?

Bosons are not particularly suited, as they condense in the lowest available energy state.



On the contrary, fermions have to due to obey the Pauli principle.

By changing the number of particles, we are able to investigate the interesting excitations, and the system becomes sensitive to the global (topological) properties of the band structure.

↑ 2D p-wave SF

## Paired states of fermions in two dimensions with breaking of parity and time-reversal symmetries and the fractional quantum Hall effect

N. Read and Dmitry Green

*Departments of Physics and Applied Physics, Yale University, P.O. Box 208120, New Haven, Connecticut 06520-8120*

(Received 30 June 1999)

We analyze pairing of fermions in two dimensions for fully gapped cases with broken parity (P) and time reversal (T), especially cases in which the gap function is an orbital angular momentum ( $l$ ) eigenstate, in particular  $l = -1$  ( $p$  wave, spinless, or spin triplet) and  $l = -2$  ( $d$  wave, spin singlet). For  $l \neq 0$ , these fall into two phases, weak and strong pairing, which may be distinguished topologically. In the cases with conserved spin, we derive explicitly the Hall conductivity for spin as the corresponding topological invariant. For the spinless  $p$ -wave case, the weak-pairing phase has a pair wave function that is asymptotically the same as that in the Moore-Read (Pfaffian) quantum Hall state, and we argue that its other properties (edge states, quasiholes, and toroidal ground states) are also the same, indicating that nonabelian statistics is a generic property of such a paired phase. The strong-pairing phase is an abelian state, and the transition between the two phases involves a bulk Majorana fermion, the mass of which changes sign at the transition. For the  $d$ -wave case, we argue that

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Not yet observed..  
try with ultracold atoms?

# A **stable** p-wave SF?

3-body losses at a p-wave Feshbach resonance



# A **stable** p-wave SF?

## **3-body losses at a p-wave Feshbach resonance**

### Ultracold proposals:

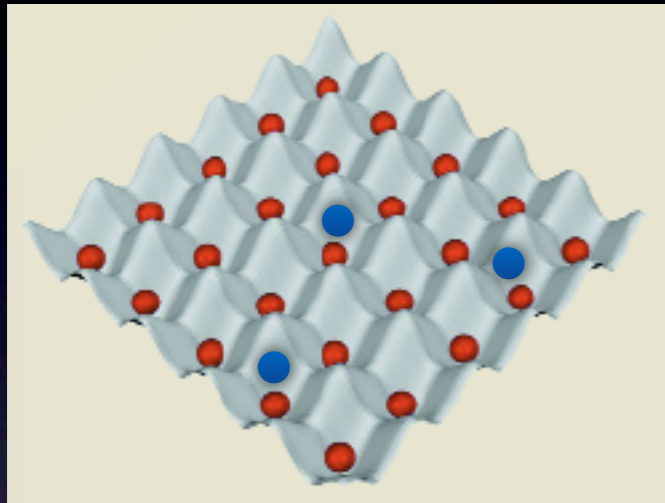
- “dissipation-induced stability” in optical lattices <sup>(1,2)</sup>  
(i.e., how to get no losses from large losses)
- time-dependent, staggered lattices <sup>(3,4)</sup>
- RF dressing of 2D fermionic polar molecules leads to long-range interactions ( $\propto r^{-3}$ ) and high  $T_C$  <sup>(5,6)</sup>
- **super-exchange interactions in Bose-Fermi mixtures** <sup>(7,8,9)</sup>

1: Han, Chan, Yi, Daley, Diehl, Zoller & Duan, PRL 2009  
2: Roncaglia, Rizzi & Cirac, PRL 2009  
3: Lim, Morais-Smith & Hemmerich, PRL 2008  
4: Lim, Lazarides, Hemmerich & Morais-Smith, EPL 2009  
5: Cooper & Shlyapnikov, PRL 2009  
6: Levinsen, Cooper & Shlyapnikov, PRA 2011  
7: Lewenstein, Santos, Baranov & Fehrmann, PRL 2004  
8: Dutta & Lewenstein, arXiv:0906.2115 & PRA 2010  
9: Massignan, Sanpera & Lewenstein, PRA 2010

# Bose-Fermi mixture

- 1)  $U_{BB} > 0$
- 2) Strong coupling:  
 $t_B, t_F \ll U_{BB}, |U_{BF}|$   
(bosons in  $n=1$  Mott state)

# Bose-Fermi mixture



$$U_{BF} \sim 0$$

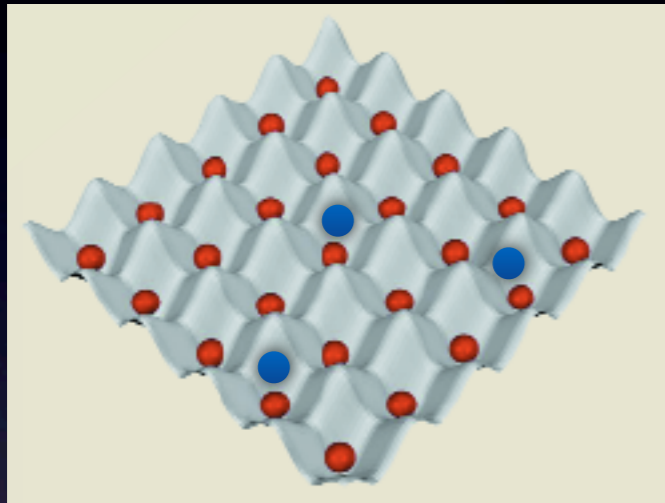
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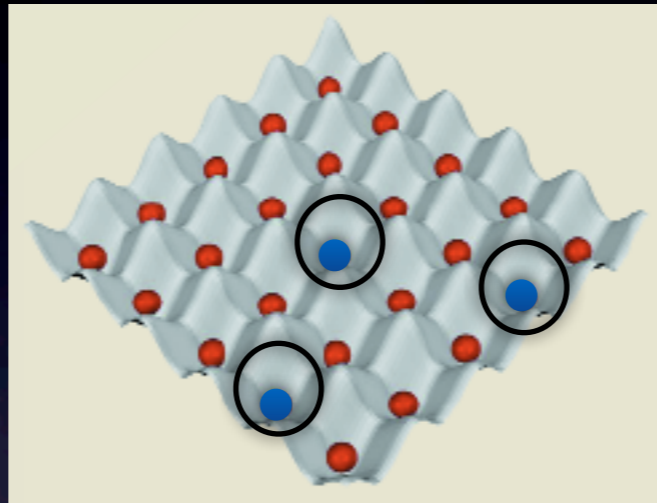
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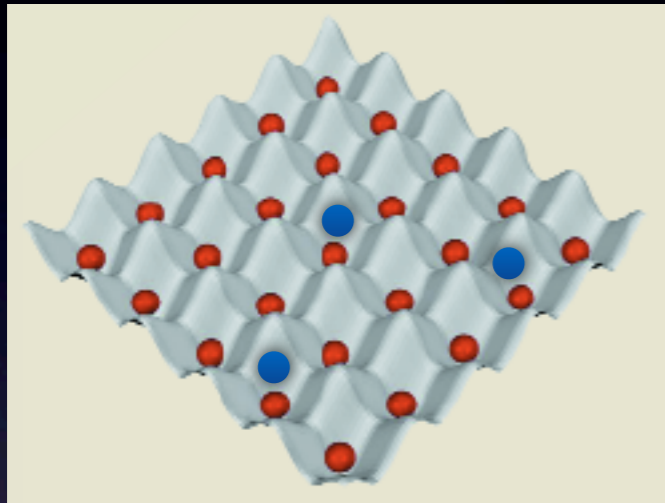
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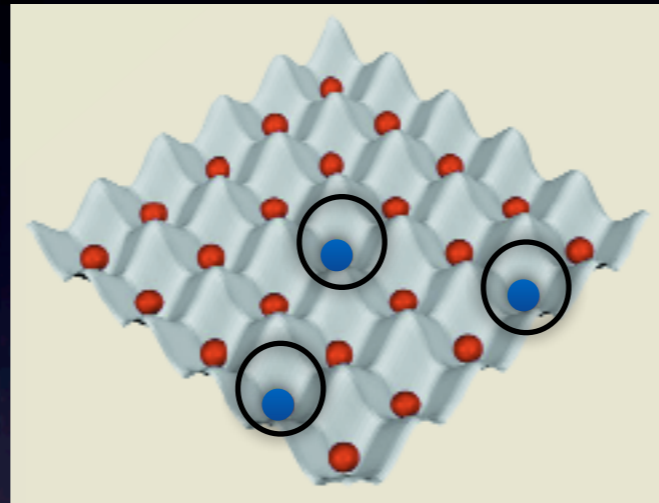
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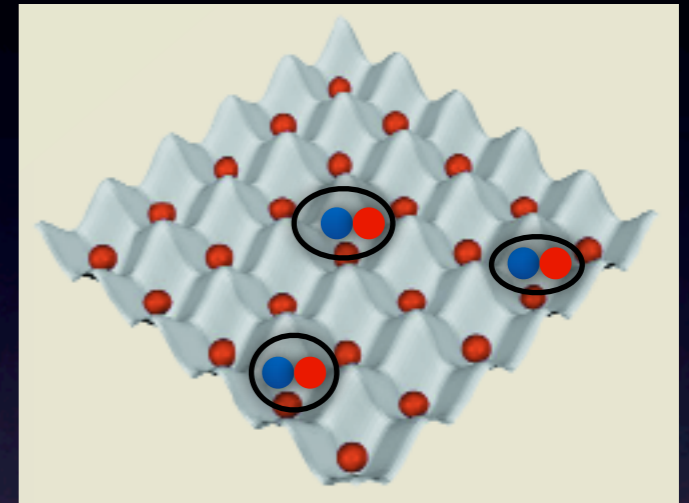
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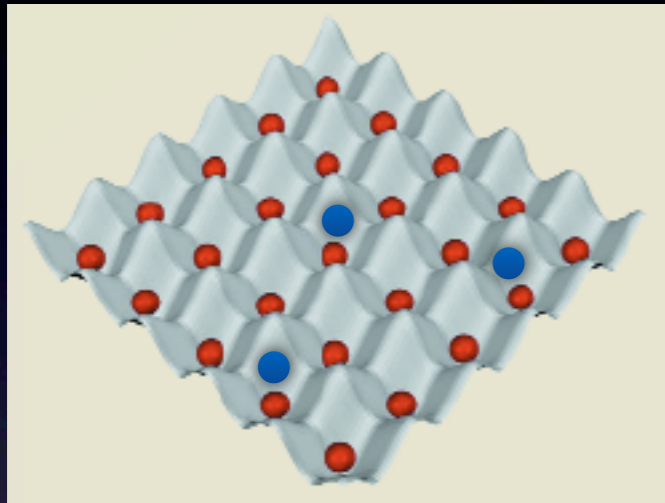


composite  
fermions

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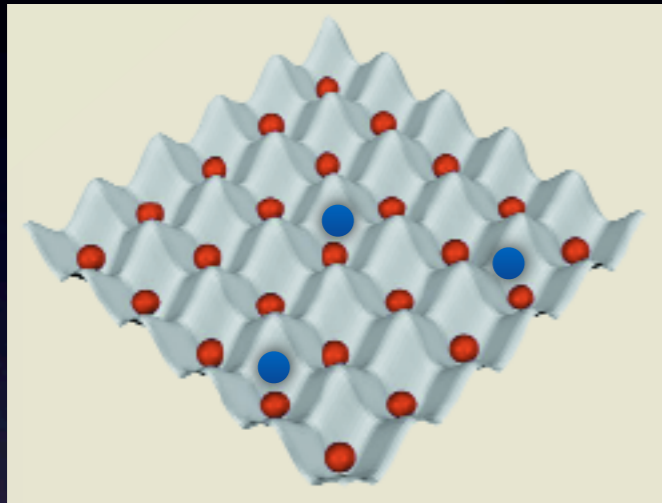
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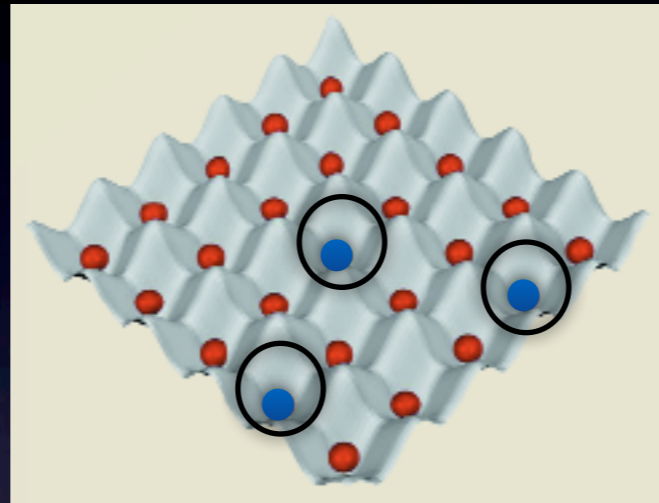
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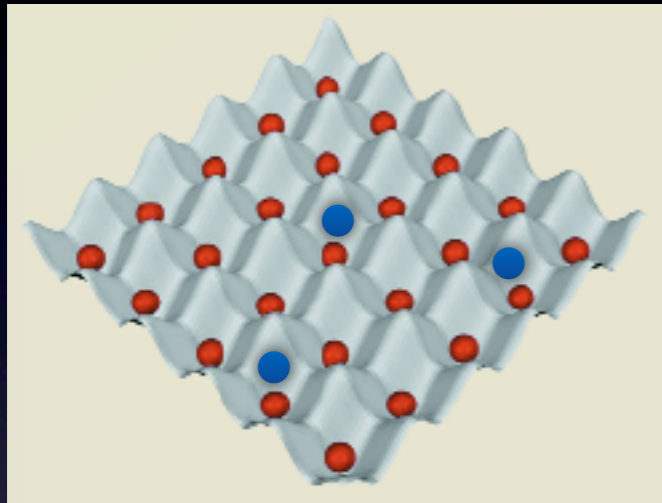
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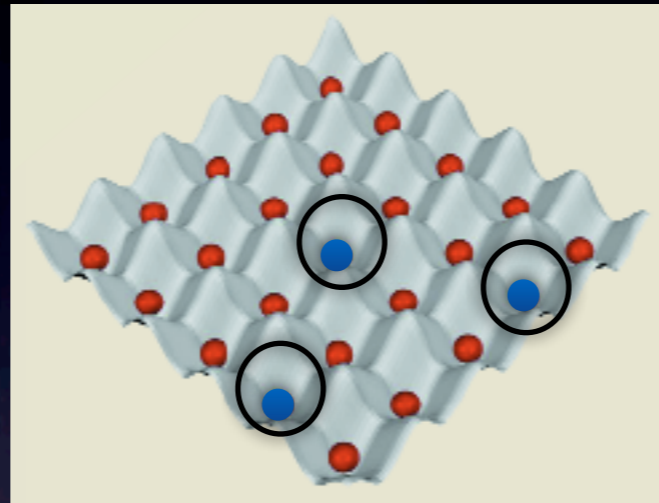
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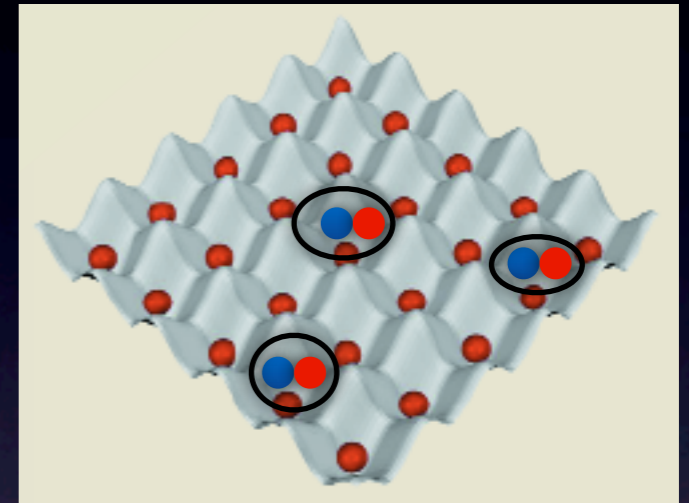
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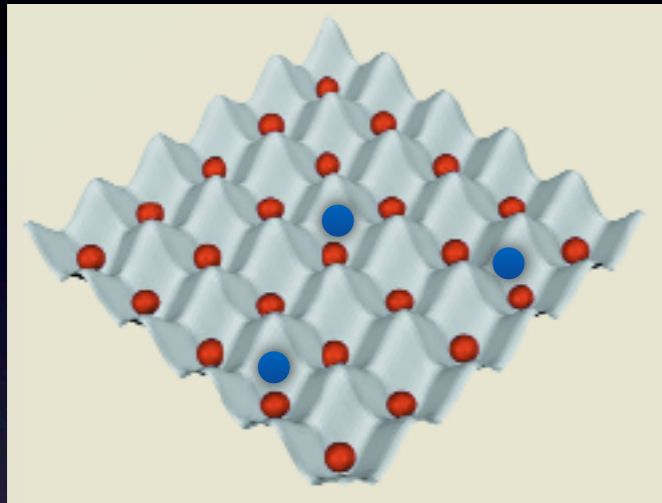
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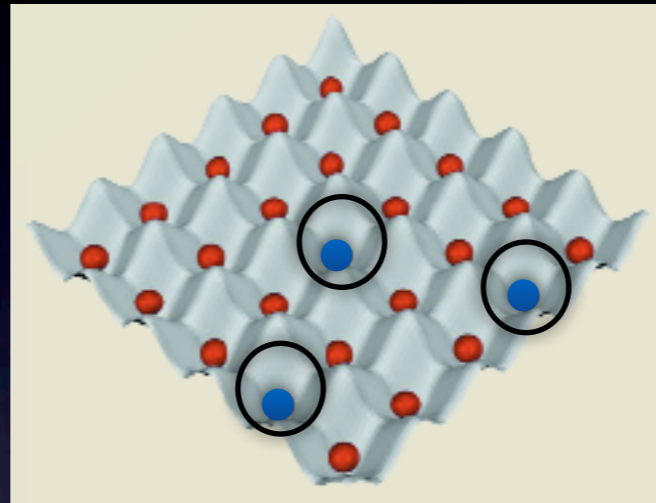


composite  
fermions

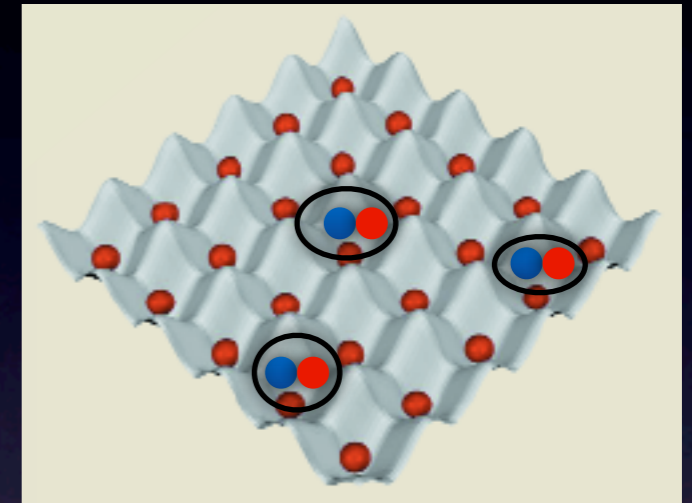
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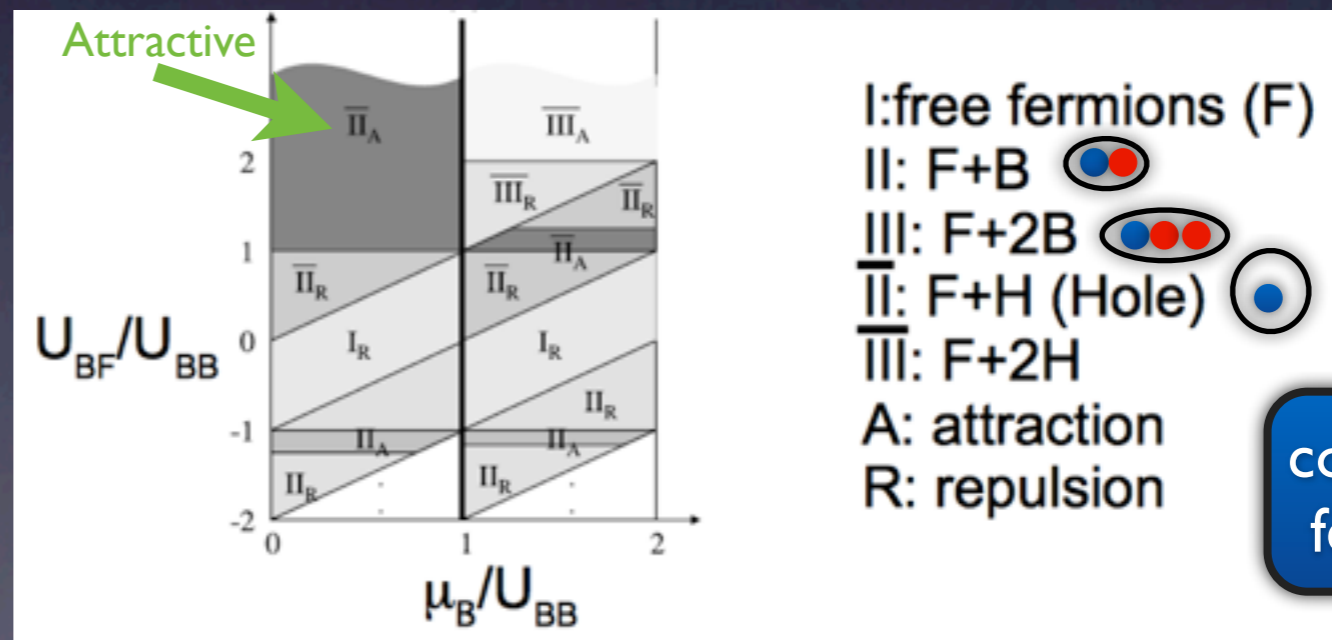


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Lewenstein, Santos, Baranov & Fehrmann, PRL 2004

# Effective Fermi-Hubbard model

super-exchange tunneling

$$t \sim (t_{\text{BTf}})/U_{\text{BF}}$$

nearest-neighbor interaction

(super-exchange)

$$H = -t \sum_{\langle i,j \rangle} c_i^\dagger c_j - \frac{U}{2} \sum_{\langle i,j \rangle} n_i n_j - \mu \sum_i n_i$$

$$U > 0$$

# Effective Fermi-Hubbard model

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$$U > 0$$

- BCS approach: introduce BdG operators

$$\gamma_n = \sum_i u_n(i) c_i + v_n(i) c_i^\dagger$$

- Self-consistent “p-wave gap equation”

$$\Delta_{ij} = U \langle c_i c_j \rangle = U \sum_{E_n > 0} u_n^*(i) v_n(j) \tanh \left( \frac{E_n}{2k_B T} \right)$$

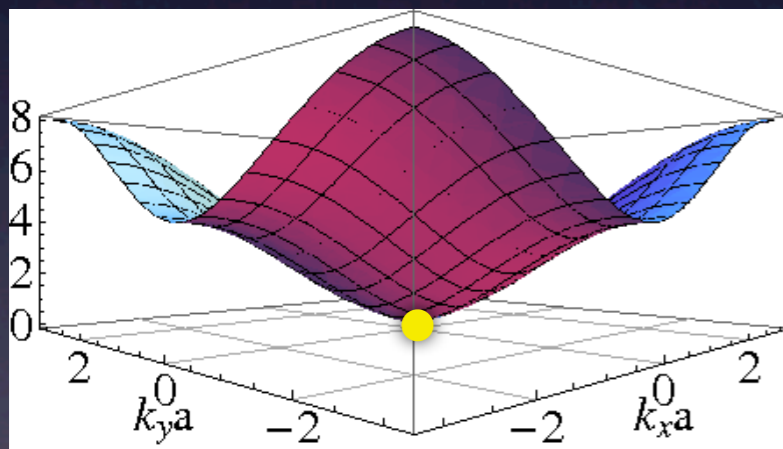
# Spectrum

(homogeneous system)

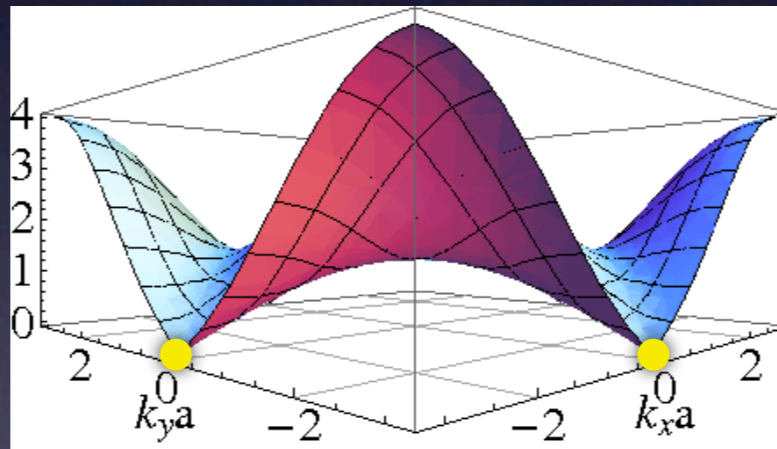
2D chiral ( $p_x \pm ip_y$ ) SF:  $E(\mathbf{k}) = \sqrt{\xi(\mathbf{k})^2 + \Delta_h(\mathbf{k})^2}$

with  $\xi = -2t[\cos(k_x a) + \cos(k_y a)] - \mu$  and  $\Delta_h^2 = \Delta_0[\sin^2(k_x a) + \sin^2(k_y a)]$

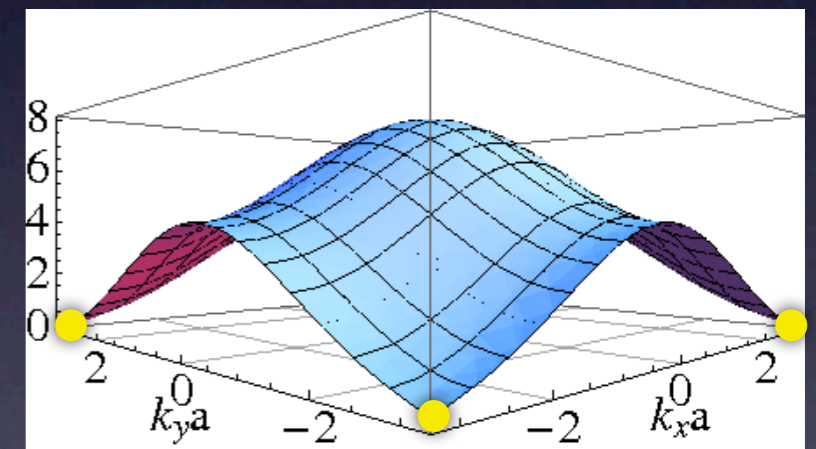
Linear dispersion at the **Dirac cones**



$\mu = -4t$



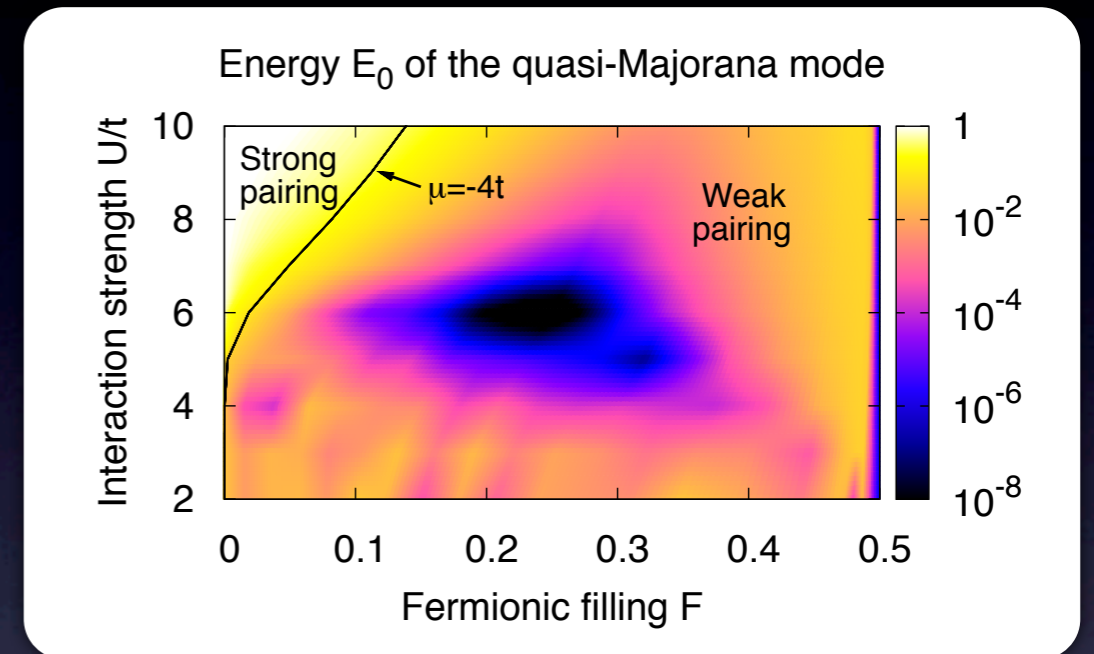
$\mu = 0$



$\mu = 4t$

Two distinguishable topological phases for filling  $F < 1/2$  and  $F > 1/2$

# Spectrum with vortex



$\Delta_0 \sim t \sim 10 \text{ nK}$  (super-exch.)

Low-lying spectrum:  $E_n \approx n\omega_0$  ( $n=0,1,2,\dots$ )

The eigenstate with  $E_0 \ll \Delta_0$  is a Majorana fermion.

Particle-hole symmetry of the BdG eqs.:  $\{E_n, \psi_n\} \leftrightarrow \{-E_n, \sigma_1 \psi_n^*\}$ . Then, if  $E_0 = 0$ ,  $u_0 = v_0^*$

$$\gamma_0 = \gamma_0^\dagger$$

P.M., A. Sanpera & M. Lewenstein, PRA 2010

↑↓ 2D s-wave SF  
with  $n_{\uparrow} \neq n_{\downarrow}$   
and spin-orbit coupling

# Synthetic gauge fields for neutral atoms

Theory: Jaksch&Zoller, NJP 2003  
Osterloh et al., PRL 2005  
Gerbier&Dalibard, NJP 2010  
Bermudez et al., PRL 2010 (TRI Top. Ins.)

- adiabatic Raman passage
- adiabatic control of superpositions of degenerate dark states
- spatially varying Raman coupling
- Raman-induced transitions to auxiliary states in optical lattices

**REVIEW:** *Artificial gauge potentials for neutral atoms*  
J. Dalibard, F. Gerbier, G. Juzeliūnas, and P. Öhberg, RMP 2011



# a field moving fast..

NIST: *Synthetic magnetic fields for ultracold neutral atoms*, Nature (2009)

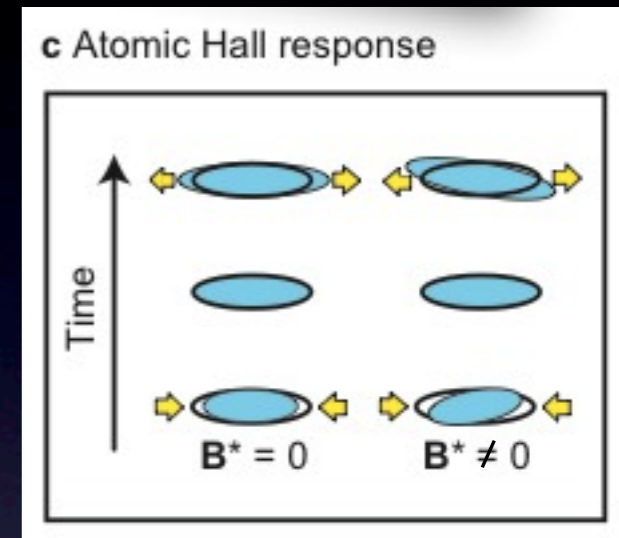
*A synthetic electric force acting on neutral atoms*, Nature Phys. (2011)

*Spin-orbit-coupled Bose-Einstein condensates*, Nature (2011)

*Observation of a superfluid Hall effect*, PNAS (2012)

*Peierls Substitution in an Engineered Lattice Potential*, PRL (2012)

(theory) *Chern numbers hiding in time-of-flight images*, PRA (2011)



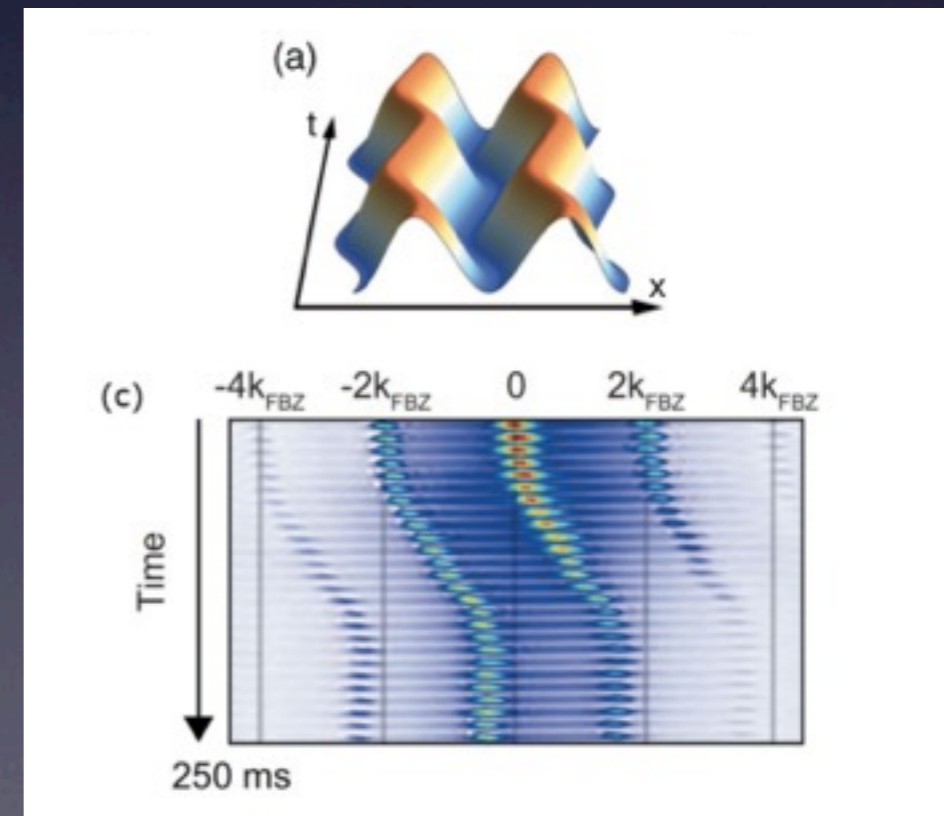
ICFO & Hamburg & Dresden:

*Tunable Gauge Potential for Neutral Spinless Particles in Driven Optical Lattices*, PRL (2012)

(method independent of the internal structure of the atoms!!)

Munich: *Experimental realization of strong effective magnetic fields in an optical lattice*, PRL (2011)

.....



# PRL webpage in Aug. 2012

The image shows the homepage of the Physical Review Letters journal website. At the top left, the journal title "Physical Review Letters" is displayed with the tagline "moving physics forward". The American Physical Society (APS) logo and name are in the top right, along with a user login for "Pietro Massignan" and links for "RSS Feeds", "Email Alerts", and "My Account".

On the left side, there is a navigation menu with sections for "APS Journals", "Authors", and "Referees". The "APS Journals" section includes links for "Current Issue", "Earlier Issues", "About This Journal", and "Journal Staff". The "Authors" section includes links for "General Information", "Submit a Manuscript", "Publication Rights", "Open Access", "Policies & Practices", "Tips for Authors", and "Professional Conduct". The "Referees" section includes links for "General Information", "Submit a Report", "Update Your Information", and "Policies & Practices".

The main content area features a breadcrumb trail "APS » Journals » Physical Review Letters" and the journal title "Physical Review Letters". Below this is a "Highlights" section with three tabs: "Editors' Suggestions", "Recent Papers", and "Accepted Papers".

The "On the Cover" section features a 3D plot of a band structure and the text: "The band structure for spin-orbit-coupled noninteracting particles on a 2D square lattice shows four degenerate minima in the lower band, due to rotational symmetry breaking by the lattice, as well as a Dirac cone. [William S. Cole, Shizhong Zhang, Arun Paramekanti, and Nandini Trivedi, Phys. Rev. Lett. 109, 085302 (2012)]". A blue arrow points from this section to the "Physics" article below.

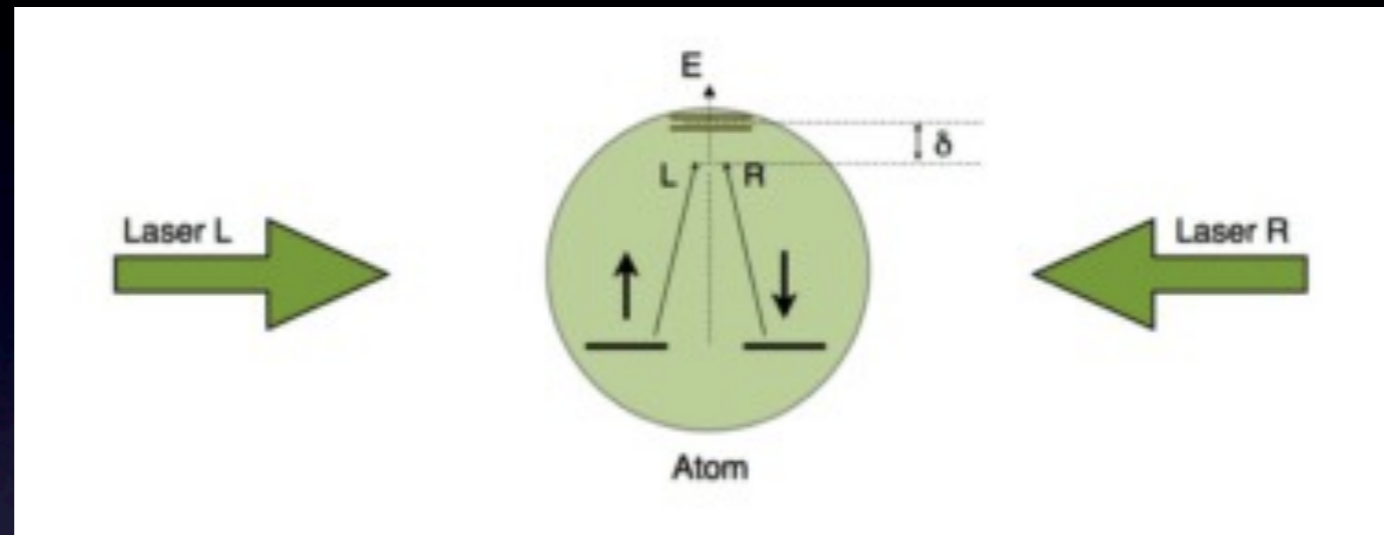
The "Physics" article is titled "Physics: Spin-Orbit Coupling Comes in From the Cold" and is dated "August 27, 2012". It includes a diagram showing two energy levels with arrows labeled "L" and "R" pointing towards them. The text reads: "Experimentalists simulate the effects of spin-orbit coupling in ultracold Fermi gases, paving the way for the creation of new exotic phases of matter." Below this are two viewpoint links: "[Viewpoint on Phys. Rev. Lett. 109, 095301 (2012)]" and "[Viewpoint on Phys. Rev. Lett. 109, 095302 (2012)]".

On the right side, there is an "Article Lookup" section with a search bar and a "Go" button. Below it is a "Physics - spotlighting exceptional research" banner with the APS logo and the text "APS's FREE online publication." Below the banner are three featured articles: "Viewpoint: Getting into a Proper Jam", "Viewpoint: Spin-Orbit Coupling Comes in From the Cold", and "Focus: How to Manipulate Nanoparticles with Lasers".

At the bottom right, there is a "New From APS" section with the APS logo and the text "APS + CC = OA".

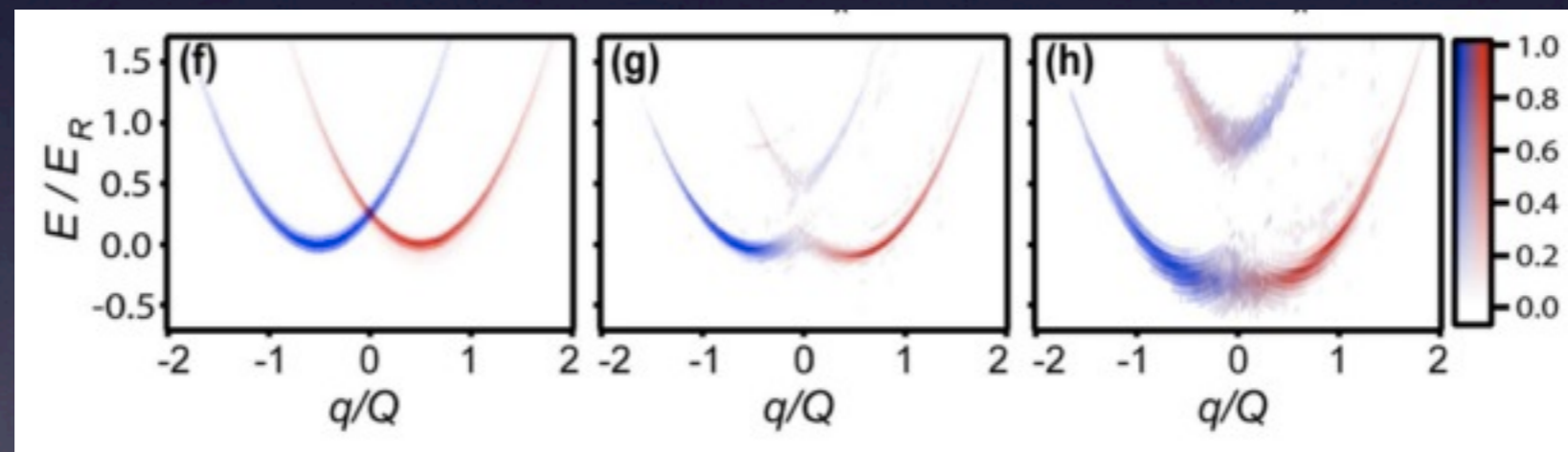
Shanxi Univ. & MIT

# Synthetic gauge fields for neutral atoms



$$|\uparrow, q=k_x - Q/2\rangle$$

$$|\downarrow, q=k_x + Q/2\rangle$$



spin-orbit gap

$\xrightarrow{\text{increasing intensity of Raman lasers}}$   
 spin flip  $\leftrightarrow$  momentum kick,  
 i.e., spin-orbit coupling

# $\uparrow\downarrow$ fermions in synthetic gauge fields

External non-Abelian gauge fields yield a **fictitious spin-orbit coupling**

$$\mathbf{c}_i^\dagger = (c_{i\uparrow}^\dagger, c_{i\downarrow}^\dagger)$$

$$\mathcal{H}_0 = -t \sum_i \left[ c_{i+\hat{x}}^\dagger e^{i\sigma_y \alpha} c_i + c_{i+\hat{y}}^\dagger e^{i\sigma_x \beta} c_i + \text{h.c.} \right]$$

complex hoppings = Peierl's phases

# Add attractive interactions



## BCS superfluid



Sato, Takahashi & Fujimoto, PRL 2009

Sau Jay, Lutchyn, Tewari and Das Sarma, PRL 2010

## strong imbalance $\Rightarrow$ topological states

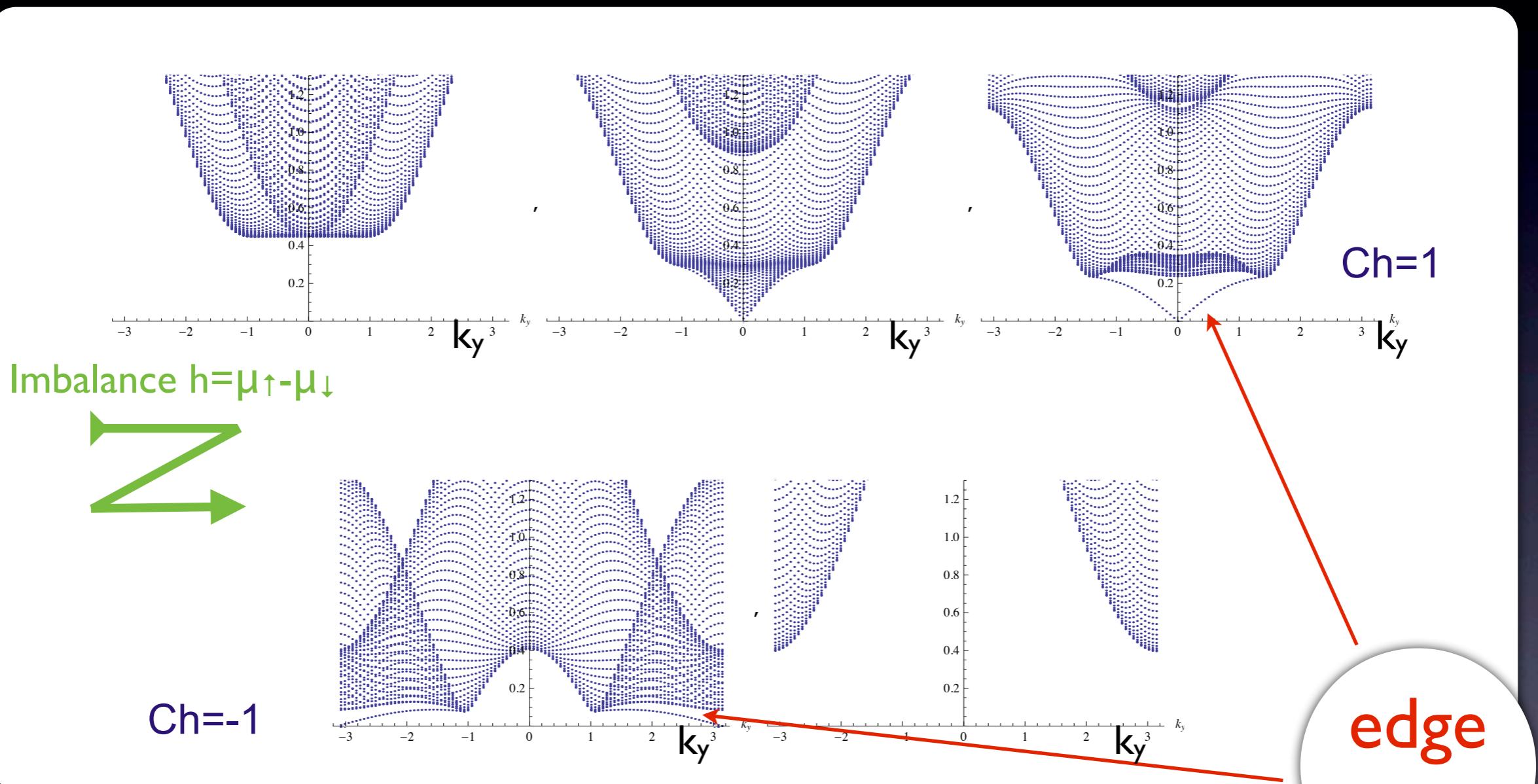
Time-reversal and spin-rotation invariances are destroyed by the Zeeman and SO terms as a consequence our BCS Hamiltonian belongs to the most general symmetry class “D”

(Altland&Zirnbauer, PRB 1997)

its topological phases are indexed in terms of an integer number

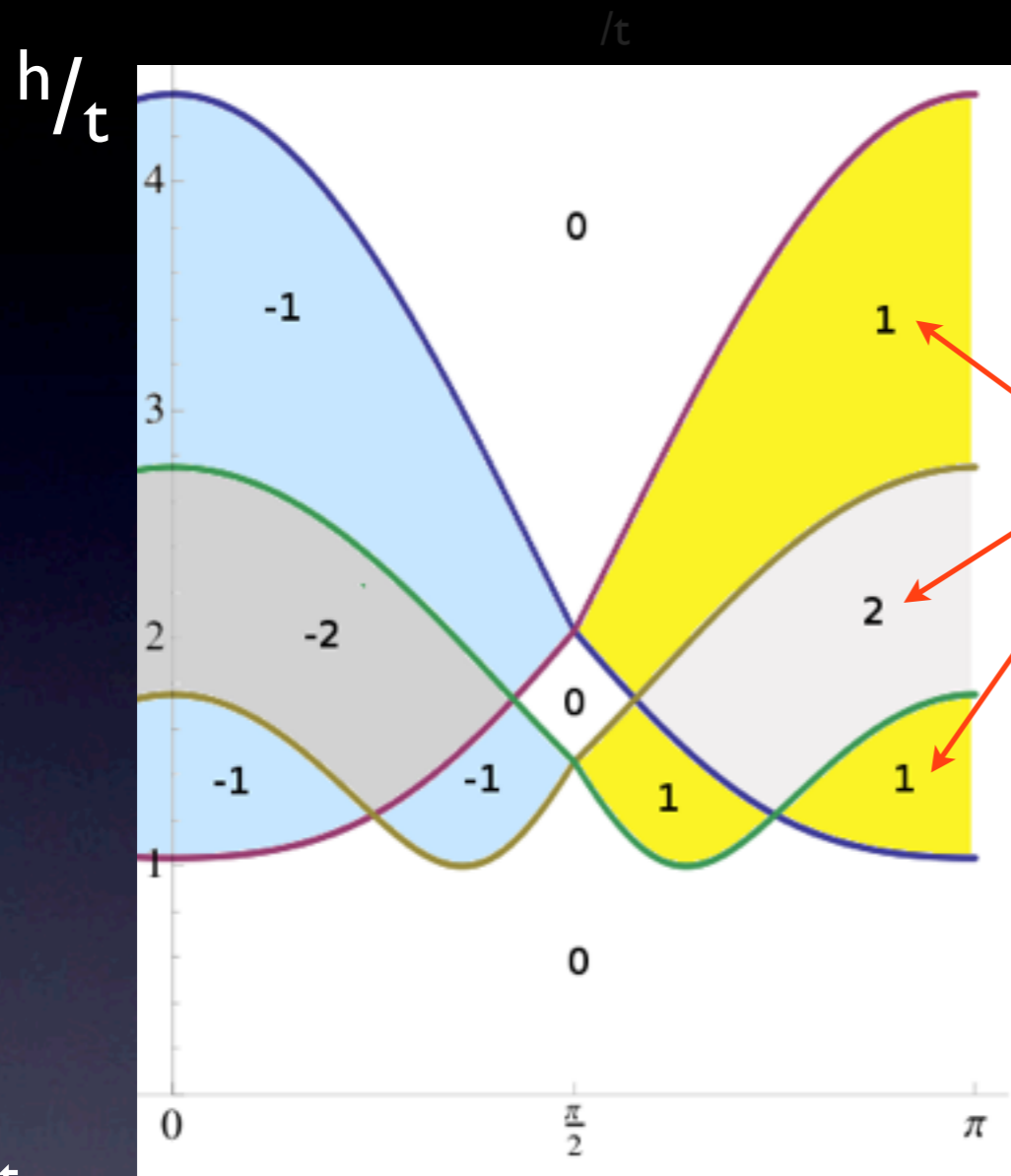
# Spectrum on a cylinder

(open b.c. along x)



Gap closing: 
$$h_{\mathbf{k}_0} = \sqrt{\epsilon_{\mathbf{k}_0}^2 + \Delta^2}$$

# Topological phases



$$h = \mu_{\uparrow} - \mu_{\downarrow}$$

Chern numbers

easy to calculate!

(see J. Bellissard, condmat/9504030)

Gap closing at  $(\mathbf{k}_0, \tilde{h})$ :

$$\mathcal{H}_{\text{eff}}(\mathbf{k}, h) = E(\mathbf{k}, h) + \vec{\sigma} \cdot \vec{f}(\mathbf{k}, h)$$

$$\Delta \text{CN}(\tilde{h}) = \text{sign}\{\det[J_{\vec{f}}(\mathbf{k}_0, \tilde{h})]\}.$$

$\beta$

$$\Delta = t$$

$$\alpha = \pi/4$$

$$\mu = -0.5t[|\cos(\alpha)| + |\cos(\beta)|]$$

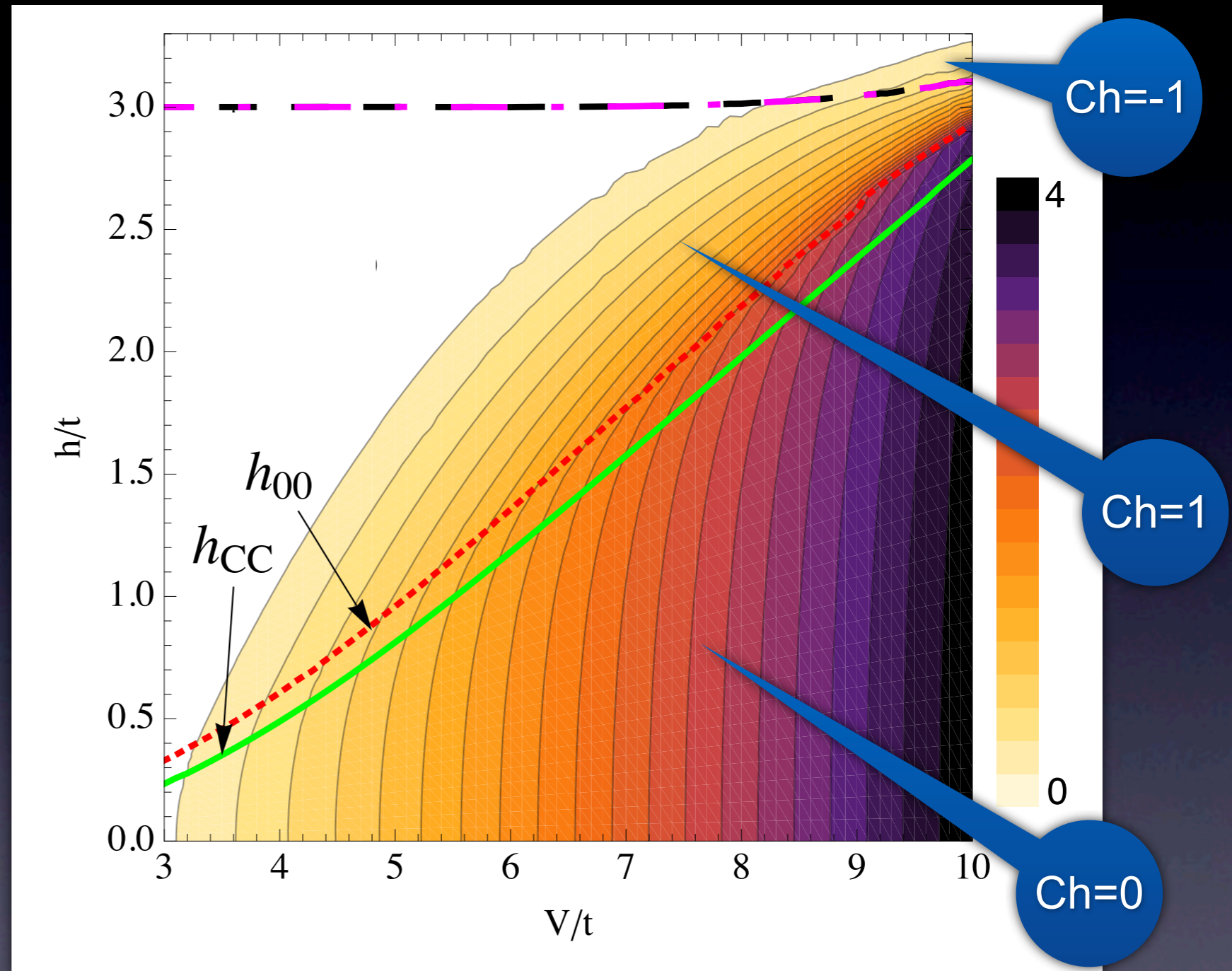
A. Kubasiak, P.M. & M. Lewenstein, EPL 2010

# Spin imbalance **vs.** pair breaking

without SO coupling:  
analytic CC limit  
(  $h_{CC} = \Delta_0/\sqrt{2}$  )

with SO coupling:  
self-consistent calculation of  $\Delta$   
from the BCS gap equation

$$\alpha = \beta = \pi/4 \quad \mu = -3t$$



A. Kubasiak, P.M. & M. Lewenstein, EPL 2010



# Conclusions

- Ultracold SF fermions possess non-trivial topological phases
- Optical lattices stabilize p-wave SF  $\supset$  FQH  
P. M., A. Sanpera & M. Lewenstein, PRA(R) 2010
- $\uparrow\downarrow$  fermions in non-Abelian gauge fields  
A. Kubasiak, P. M. & M. Lewenstein, EPL 2010